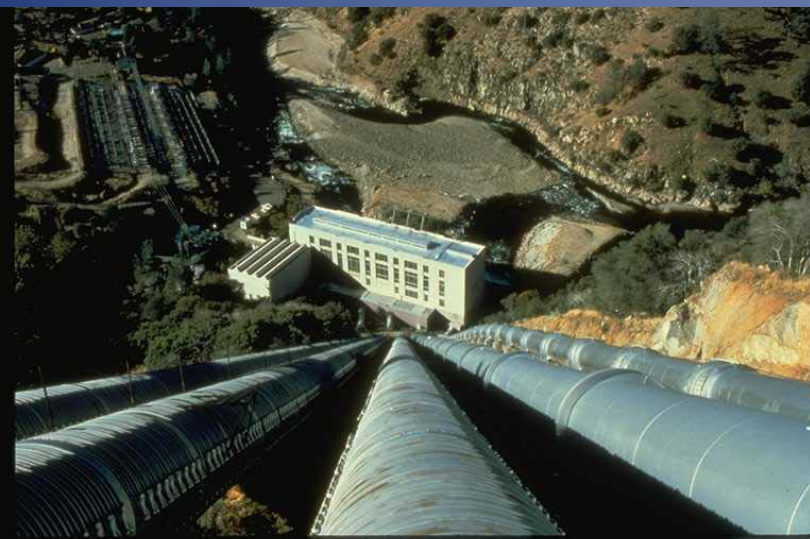


# ASCE Manual and Reports on Engineering Practice No. 79

## Steel Penstocks

Presented by Dennis Dechant, PE  
Dechant Infrastructure Services



# MOP 79 Steel Penstocks

## Current Status

1. General
2. Materials
3. Design Criteria and Allowable Stresses
4. Exposed Penstocks
5. Buried Penstocks
6. Steel Tunnel Liners
7. Bifurcations (Wye Branches)
8. Anchor Blocks and Piers
9. Appurtenances
10. Corrosion Prevention and Control
11. Manufacture
12. Installation
13. Inspection and Testing
14. Start-Up
15. Documentation and Certification
16. Maintenance
17. Penstock Design Examples

# Design Considerations

Table 3-1 Load Combinations for Service Conditions

	NORMAL			INTERMITTENT							EMERGENCY			EXCEPTIONAL	CONSTRUCTION	HYDROTEST					
LOAD	1	2	3	1	2	3	4	5	6	7	8	9	10	11	1	2	3	1	2	1	1
P <sub>N1</sub>	*			*														*			
P <sub>N2</sub>	*			*														*			
P <sub>N3</sub>	*			*														*			
P <sub>H1</sub>					*	*	*	*	*	*	*	*	*	*	*	*	*				
P <sub>H2</sub>					*	*	*	*	*	*	*	*	*	*	*	*	*				
P <sub>H3</sub>					*	*	*	*	*	*	*	*	*	*	*	*	*				
P <sub>EM1</sub>												*	*	*	*	*	*	*	*	*	*
P <sub>EM2</sub>												*	*	*	*	*	*	*	*	*	*
P <sub>EM3</sub>												*	*	*	*	*	*	*	*	*	*
P <sub>ri</sub>															*	*	*	*	*	*	*
DL1	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
DL2	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
DL3	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
DL4	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
DL5	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
LL1														*							
LL2	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
LL3	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
EQ1	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
EQ2	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
EQ3	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
TL1	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
TL2	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
TL3	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
EJL	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
SFL	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
P <sub>c1</sub>																					
P <sub>c2</sub>																					
P <sub>c3</sub>																					



Table 3-2 SI Classifications for Typical Parts Found in Penstocks and Tunnel Liners

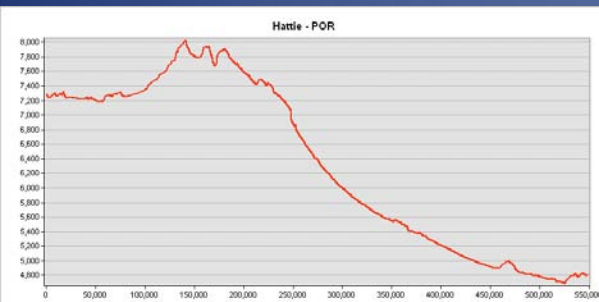
CASE	SI CATEGORY
<b>RING GIRDER SUPPORTS AND STIFFENER RINGS</b>	
RIM BENDING	Q
LOCAL MEMBRANE HOOP STRESS IN THE PENSTOCK IN THE CIRCUMFERENTIAL DIRECTION	P <sub>L</sub>
BENDING STRESSES IN RING GIRDER AND/OR STIFFENERS ACTING ACROSS THE DEPTH OF THE GIRDER	P <sub>M</sub>
BENDING - AXIAL SI IN RING	P <sub>N</sub>
RIM BENDING IN THE PENSTOCK SHELL PLUS DIRECT/AXIAL LOAD	(Q+P <sub>N</sub> )
<b>SADDLES (PENSTOCK SHELL IMMEDIATELY ABOVE HORN OF SADDLE)</b>	
HORN BENDING	Q
HORN HOOP MEMBRANE LOAD	P <sub>L</sub>
SHEAR IN SHELL ADJACENT TO HORN	0.6S
BEARING OR COMPRESSION FROM BEARING LOADS	P <sub>M</sub>
BENDING IN BASE PLATES AND SADDLE PLATES ACROSS THICKNESS OF ELEMENT	P <sub>S</sub>
<b>LOADED ATTACHMENTS AND NOZZLES</b>	
MEMBRANE SI IN SHELL OF PENSTOCK DUE TO THE LOADS	P <sub>L</sub>
BENDING SI IN SHELL OF PENSTOCK DUE TO THE LOADS	Q
<b>NOZZLE NECKS</b>	
NOZZLE NECKS OUTSIDE LIMITS OF REINFORCEMENT FROM EXTERNAL LOADS AND MOMENTS, AND PRESSURE	P <sub>L</sub>
NOZZLE NECKS WITHIN LIMITS OF REINFORCEMENT FROM EXTERNAL LOADS AND MOMENTS, AND PRESSURE	P <sub>M</sub>
<b>BIFURCATIONS</b>	
MEMBRANE STRESS INTENSITY AWAY FROM REINFORCING GIRDERS AND AWAY FROM CHANGES IN DIRECTION	P <sub>N</sub>
MEMBRANE STRESS INTENSITY ADJACENT TO REINFORCING GIRDERS AND CHANGES IN DIRECTION	P <sub>L</sub>
BENDING STRESS ACROSS THICKNESS OF SHELL	Q
MAXIMUM STRESS INTENSITY IN REINFORCING GIRDERS	P <sub>S</sub> + P <sub>L</sub>
<b>MISCELLANEOUS</b>	
MEMBRANE HOOP STRESS FROM INTERNAL PRESSURE IN CYLINDRICAL AND CONICAL PENSTOCK SECTIONS AND FOR FORMED SHELLS AWAY FROM DISCONTINUITIES	P <sub>N</sub>
MEMBRANE SI IN THE VICINITY OF ATTACHMENTS UNDER LOAD, HORN AREA OF SADDLE SUPPORTS, CONICAL-TO-CYLINDRICAL INTERSECTIONS, AND PENSTOCK SHELLS ADJACENT TO NOZZLES	P <sub>L</sub>
BENDING SI IN FLAT HEADS AND COVERS	P <sub>M</sub>
TEMPERATURE SI	Q

Table 3-3 Weld Joint Reduction Factors  
Exposed Penstocks and Tunnel Liners

CATEGORY	TYPE	100% RT or UT	SPOT RT <sup>1</sup>	NO RT OR UT <sup>2</sup>
LONGITUDINAL BUTT WELDS CIRCUMFERENTIAL BUTT WELDS SPIRAL (HELICAL) BUTT WELDS	DOUBLE-WELDED BUTT JOINTS	1.00	.85	.70
	SINGLE-WELDED BUTT JOINTS WITH BACKING STRIPS	.90	.80	.65
DOUBLE FULL-FILLET LAP	SINGLE-WELDED BUTT JOINT WITHOUT BACKING STRIPS (CIRCUMFERENTIAL ONLY, <5/8 in. THICK AND <24 in. O.D.)	NA <sup>3</sup>	NA	.60
	LONGITUDINAL t <sub>max</sub> = 3/8 in.	NA	NA	.55
SINGLE FULL-FILLET LAP JOINTS WITH PLUG WELDS	CIRCUMFERENTIAL t <sub>max</sub> = 5/8 in.	NA	NA	.55
	CIRCUMFERENTIAL ONLY, FOR <24 in. O.D. t <sub>max</sub> = 1/2 in.	NA	NA	.50

Buried Penstocks

CATEGORY	TYPE	100% RT or UT	SPOT RT <sup>1</sup>	NO RT OR UT <sup>2</sup>
LONGITUDINAL BUTT WELDS CIRCUMFERENTIAL BUTT WELDS SPIRAL (HELICAL) BUTT WELDS	DOUBLE-WELDED BUTT JOINTS	1.00	.85	.70
	SINGLE-WELDED BUTT JOINTS WITH BACKING STRIPS	.90	.80	.65
DOUBLE FULL-FILLET LAP	SINGLE-WELDED BUTT JOINT WITHOUT BACKING STRIPS (CIRCUMFERENTIAL ONLY, <5/8 in. THICK AND <24 in. O.D.)	NA	NA	.60
	LONGITUDINAL t <sub>max</sub> = 3/8 in.	NA	NA	.55
SINGLE FULL-FILLET LAP JOINTS WITH PLUG WELDS	CIRCUMFERENTIAL t <sub>max</sub> = 5/8 in.	NA	NA	.55
	CIRCUMFERENTIAL ONLY, FOR < 24 in. O.D. t <sub>max</sub> = 5/8 in.	NA	NA	.50
BELL-AND-SPIGOT JOINTS	SINGLE FILLET LIMITED TO t <sub>max</sub> = 5/8 in.	NA	NA	.45
	DOUBLE FILLET t <sub>max</sub> = 5/8 in.	NA	NA	.55





# Intended Use of MOP 79

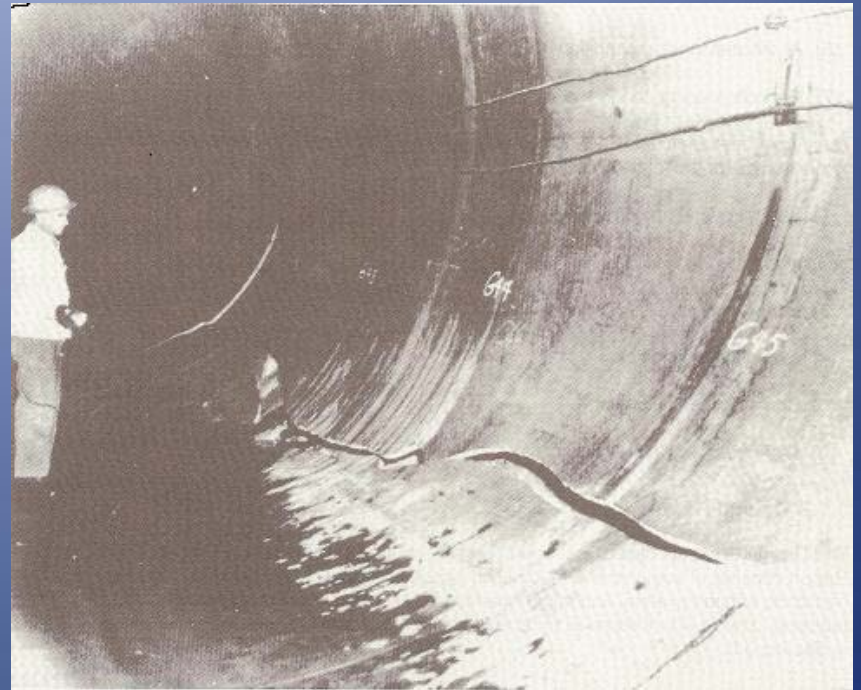
A Penstock is defined in MOP 79 as a pressurized, closed water conduit located between the first free water surface and a hydroelectric power/pump station.



# Risk Assessment

- Chapter 16 -Maintenance

An estimate of the potential risks associated with penstock failure mechanisms must be developed. This type of assessment is site dependant and must include, but not be limited to, estimating potential failure modes, assessing the probability or likelihood of such an event, and assessing the potential for loss of life and damage associated with each identified failure mode.





# Engineering VS Operation

- Safety
- Operating efficiency
- Cost
- Installation restraints
- Repair and maintenance
- Environmental concerns

